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Patent  
241/184 (prev. 6646-114N3)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Ronald A. KATZ**

Serial No.: 09/313,120

Filed: May 17, 1999

For: TELEPHONE INTERFACE CALL  
PROCESSING SYSTEM WITH  
CALL-SELECTIVITY

Group Art Unit: 2643

Examiner: S. Woo

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Commissioner for Patents  
Washington, D.C. 20231

**SECOND SUPPLEMENTAL AMENDMENT**

Dear Sir:

Further to the supplemental amendment submitted on June 18, 2001, please further amend the subject application as indicated below.

**IN THE CLAIMS:**

Please amend claims 23, 32, and 34 as indicated below in clean form and cancel claim 33, without prejudice. Also, add claims 37-124 as indicated below on the following pages.

1 23. (Amended) A process for receiving calls through a telephonic

communication facility from a multitude of terminals in different call modes including a toll free call mode and an area code call mode and processing the calls in accordance with respective interface formats for the different call modes, wherein the process involves digital signals including digital signals provided by the multitude of terminals as for

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Regina Kuyper, Reg No. 33,830



Patent  
241/184 (prev. 6646-114N3)

identification or data, comprising the steps of:

receiving calls in the toll free call mode and providing an interface format associated with the toll free call mode;

providing an identification number to facilitate participation via the toll free call mode;

verifying the participation number for approval;

receiving calls in the area code mode and providing another interface format associated with the area code mode;

providing preliminary automated greetings specific to respective interface formats associated with the toll free call mode and the area code mode, prior to execution of at least certain common operations of the interface formats; and

concurrently processing the verified calls received in the toll free call mode and the calls received in the area code mode in accordance with at least certain common operations of the interface formats associated with the toll free and the area code call modes.

3122. (Amended) A process for receiving calls through a communication facility from a multitude of terminals in an 800 call mode for processing data in accordance with an identified one of a plurality of interface formats and involving digital signals including digital signals provided by the multitude of terminals for identification or data, the process comprising the steps of:

receiving calls in the 800 call mode wherein digital signals indicative of at least a first called number (DNIS) identify a first interface format;

receiving calls in the 800 call mode wherein digital signals indicative of a second distinct called number (DNIS) identify a second interface format;

receiving calling number identification signals automatically provided by the communication facility to indicate calling terminal numbers for certain of the multitude of terminals to verify on-line the calls received for at least one of the two distinct called numbers to provide verified calls;

processing calls received in the 800 call mode for the two distinct called numbers in accordance with preliminary operations of the first and second



Patent  
241/184 (prev. 6646-114N3)

interface formats, wherein the preliminary operations comprise:

providing a distinct automated greeting under control of the digital signals (DNIS) to callers calling at least one of the two distinct called numbers associated with the first and second formats in the 800 call mode; and

coupling the calls received in the 800 call mode for the two distinct called numbers wherein at least certain calls are verified calls for concurrent processing in accordance with common operations of the first and second interface formats.

53. (Amended) A telephone call processing system for receiving calls through a telephonic communication facility from a multitude of terminals in a toll free call mode for processing data in accordance with distinct operating process formats and involving digital signals including called number identification signals (DNIS) automatically provided by the telephonic communication facility, the system comprising:

first response unit for receiving calls in the toll free call mode wherein the called number identification signals (DNIS) indicative of at least one of a plurality of distinct called numbers identifies one of the operating process formats;

voice generator means for prompting callers to enter data in response to at least one voice prompt wherein the data entered by the callers is used to update data for the callers in a database relating to the callers;

verification means for verifying at least the calls utilizing the one of the plurality of distinct called numbers in the toll free call mode received by the first response unit to provide verified calls based upon verifying caller entered identification data including caller personal identification data;

second response unit means for receiving calls in the toll free call mode wherein called number identification signals (DNIS) indicative of one other of the plurality of distinct called numbers identifies a different one of the operating process formats;

means for concurrently processing calls received by the first response unit means and the calls received by the second response unit for concurrent processing of data in accordance with at least certain common operations of the



7-4-01  
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Patent  
241/184 (prev. 6646-114N3)

operating process formats; and

audio control unit for providing an automated greeting, prior to execution of the certain common operations, under the control of the called number identification signals (DNIS) to callers calling at least one of the distinct called numbers whereby the automated greeting is specific to the one of the plurality of distinct numbers.

33. Cancel, without prejudice.

Please add the following new claims 37-124.

<sup>16</sup> (New) A process according to claim <sup>7</sup> 23, further comprising the step of: receiving called number identification signals (DNIS) automatically provided by the telephonic communication facility at least for the calls in the toll free call mode and selecting the respective interface format under control of the called number identification signals (DNIS).--

<sup>17</sup> (New) A process according to claim <sup>16</sup> 27, further comprising the step of: testing the identification number to control for previous use under control of the called number identification signals.--

<sup>18</sup> (New) A process according to claim <sup>16</sup> 27, further comprising the step of: testing the identification number to control for limited use based on a limited period of time under control of the called number identification signals.--

<sup>19</sup> (New) A process according to <sup>1</sup> 23, further comprising the step of: receiving calling number identification data automatically by the telephonic communication facility.--

<sup>20</sup> (New) A process according to claim <sup>19</sup> 40, further comprising the step of:

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Patent  
241/184 (prev. 6646-114N3)

controlling certain of the operations of at least one of the formats  
under control of at least certain of the calling number identification  
signals.--

<sup>24</sup>  
--42. (New) A process according to claim <sup>21</sup>42, further comprising the step of:  
receiving caller data provided via the multitude of terminals and wherein  
verification of the calls received in the 800 call mode for at least one of the two  
distinct called numbers is based upon a test of the caller provided data based upon  
limited use.--

<sup>25</sup>  
--43. (New) A process according to claim <sup>24</sup>42, wherein the limited use is a one-  
time only use.--

<sup>26</sup>  
--44. (New) A process according to claim <sup>24</sup>42, wherein the caller provided data  
includes digital signals indicative of a personal identification number.--

<sup>27</sup>  
--45. (New) A process according to claim <sup>24</sup>42, wherein the limited use limits use  
by callers during a single period of time.--

<sup>28</sup>  
--46. (New) A process according to claim <sup>24</sup>42, wherein the caller provided data  
includes caller pin-number data, which is subject to limited use.--

<sup>31</sup>  
--47. (New) A process according to claim <sup>21</sup>42, further including memory means  
for storing the digital signals.--

<sup>29</sup>  
--48. (New) A process according to claim <sup>24</sup>42, further comprising the step of:  
storing the caller provided data or the calling number identification  
signals and restricting the extent of access to the identified one of the  
plurality of interface formats based on at least one of caller provided data  
or calling number identification data.--

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Patent

241/184 (prev. 6646-114N3)

32  
--49. (New) A process according to claim 32, further comprising the step of:  
providing at least one voice prompt to solicit a response in  
the form of data entered interactively by the callers calling one of  
the two distinct called numbers associated with the 800 call mode.--

33  
--50. (New) A process according to claim 49, wherein at least certain of the  
data entered interactively is stored.--

34  
--51. (New) A process according to claim 50, wherein the step of providing at  
least one voice prompt is initiated upon receiving calling terminal numbers  
associated with at least certain terminals.--

35  
--52. (New) A process according to claim 51, wherein the calling number  
identification signals associated with at least certain terminals are used to access a  
data bank relating to calls from the terminals.--

36  
--53. (New) A process according to claim 52, wherein the data bank is updated  
with data relating to the calling number identification signals.--

37  
--54. (New) A process according to claim 52, wherein the step of verifying  
includes the step of tallying calls placed to at least one called number in the 800  
call mode up to a predetermined limit.--

38  
--55. (New) A process according to claim 52, wherein the caller data provided  
is used to update data for the callers in a data bank relating to the callers.--

38  
--56. (New) A process according to claim 52, wherein the calling number  
identification signals are tested based on limited use.--

39  
--57. (New) A process according to claim 32, wherein at least one of the  
plurality of interface formats is an on-line processing format in real-time.--



Patent  
241/184 (prev. 6646-114N3)

46  
--58. (New) A process according to claim 32, wherein the process includes multiple comparative processing operations at least a part of which occur during the common operations.--

41  
--59. (New) A process according to claim 32, wherein the step of concurrent processing of calls includes the step of processing to isolate a subset of callers.--

42  
--60. (New) A process according to claim 32, wherein at least one of the plurality of interface formats is an information format.--

43  
--61. (New) A process according to claim 60, wherein the information format is a financial information format.--

44  
--62. (New) A process according to claim 61, wherein the financial information format includes provision of data on stocks and bonds.--

45  
--63. (New) A process according to claim 32, further comprising:  
receiving data provided by the caller via the terminals; and  
testing the caller provided data against stored data to determine approval for the caller.--

46  
--64. (New) A process according to claim 63, wherein there is further testing of the caller provided data against a record of previous use.--

47  
--65. (New) A process according to claim 63, wherein the testing step utilizes a coincidence detector and storage unit.

48  
--66. (New) A process according to claim 32, wherein both the first and second interface formats for the first and second 800 call modes are financial information formats.--



Patent  
241/184 (prev. 6646-114N3)

49  
--67. (New) A process according to claim 32, further comprising the step of:  
receiving and utilizing caller provided identification data or  
utilizing the calling number identifications signals to determine an  
appropriate cue for a caller.--

50  
--68. (New) A process according to claim 67, wherein the appropriate cue for a  
caller is determined by avoiding a cue previously provided to a caller.--

52  
--69. (New) A telephone call processing system according to claim 34, wherein  
the verification means verifies the calls in the toll free call mode based upon a test  
of caller entered identification data based upon limited use.--

53  
--70. (New) A telephone call processing system according to claim 69, wherein  
the limited use is a one-time only use.--

54  
--71. (New) A telephone call processing system according to claim 69, wherein  
the limited use limits use by callers during a single period of time.--

55  
--72. (New) A telephone call processing system according to claim 34, wherein  
the caller pin-number data is subject to limited use.--

56  
--73. (New) A telephone call processing system according to claim 34, wherein  
digital signals representing calling terminal numbers associated with at least  
certain terminals are automatically provided by the telephonic communication  
facility.--

57  
--74. (New) A telephone call processing system according to claim 73, further  
including memory means for storing the digital signals.--

58  
--75. (New) A telephone call processing system according to claim 73, wherein



Patent  
241/184 (prev. 6646-114N3)

the verification means tests the digital signals representing calling terminal numbers associated with at least certain of the multitude of terminals that are automatically provided from the telephonic communication facility.--

<sup>59</sup>  
--76. (New) A telephone call processing system according to claim <sup>51</sup>34, further including memory means for storing data and control means for restricting the extent of access to a select operating process format based on at least one of caller entered data or calling terminal data automatically provided by the telephonic communication facility.--

<sup>60</sup>  
--77. (New) A telephone call processing system according to claim <sup>51</sup>34, wherein the voice generator means prompts responses to at least one voice prompt in the form of data interactively provided by the callers calling at least one of the distinct called numbers.--

<sup>61</sup>  
--78. (New) A telephone call processing system according to claim <sup>60</sup>77, wherein at least one of the responses is stored.--

<sup>62</sup>  
--79. (New) A telephone call processing system according to claim <sup>60</sup>77, wherein the voice generator means prompts responses to at least one question upon receiving calling terminal numbers associated with the multitude of terminals that are automatically provided by the telephonic communication facility.--

<sup>63</sup>  
--80. (New) A telephone call processing system according to claim <sup>51</sup>34, wherein digital signals representing calling terminal numbers associated with at least certain terminals are automatically provided by the telephonic communication facility and are used to access a data bank relating to calls from the terminals.--

<sup>64</sup>  
--81. (New) A telephone call processing system according to claim <sup>63</sup>80, wherein the data bank is updated with data relating to the calling terminal numbers.--



Patent  
241/184 (prev. 6646-14N3)

65  
--82. (New) A telephone call processing system according to claim 34, wherein the verification means tallies calls placed to at least one of the toll free call mode distinct numbers up to a predetermined limit.--

66  
--83. (New) A telephone call processing system according to claim 34, wherein the data entered by the callers is used to update data for the callers in a data bank relating to the callers.--

67  
--84. (New) A telephone call processing system according to claim 34, wherein at least one of the distinct operating process formats is an on-line processing format in real-time.--

68  
--85. (New) A telephone call processing system according to claim 34, wherein the means for concurrently processing calls utilizes at least certain of multiple comparative processing operations as part of the common operations.--

69  
--86. (New) A telephone call processing system according to claim 34, wherein the means for concurrently processing calls, isolates a subset of callers.--

70  
--87. (New) A telephone call processing system according to claim 34, wherein at least one of the distinct operating process formats is a form of financial information format.--

71  
--88. (New) A telephone call processing system according to claim 37, wherein the financial information format includes provision of data on stocks and bonds.

72  
--89. (New) A system according to claim 34, wherein the verification means tests the digital signals representing calling number identification signals associated with at least certain of the multitude of terminals for limited use.--

73  
--90. (New) A system according to claim 89, wherein the caller entered data is



Patent  
241/184 (prev. 6646-114N3)

tested against stored data for approval.--

<sup>74</sup>  
--91. (New) A system according to claim <sup>72</sup>89, wherein there is testing of the caller entered data against a record of previous use.--

<sup>75</sup>  
--92. (New) A system according to claim <sup>74</sup>91, wherein the testing utilizes a coincidence detector and storage unit.--

<sup>76</sup>  
--93. (New) A system according to claim <sup>51</sup>92, wherein both the interface operating formats for the first response unit and the second response unit are financial information formats.--

<sup>77</sup>  
--94. (New) A system according to claim <sup>51</sup>93, wherein the means for concurrently processing together with the voice generator means utilizes caller entered identification data or calling number identifications signals to determine an appropriate cue for a caller.--

<sup>78</sup>  
--95. (New) A system according to claim <sup>77</sup>94, wherein the appropriate cue is determined to avoid a cue previously provided to a caller.--

<sup>79</sup>  
--96. (New) A system according to claim <sup>51</sup>95, further comprising:  
a third response unit for receiving calls in an area code mode.--

<sup>80</sup>  
--97. (New) A process for receiving calls through a communication facility from a multitude of terminals in an 800 call mode for processing data in accordance with an identified one of a plurality of interface formats and involving digital signals including digital signals provided by the multitude of terminals for identification or data, the process comprising the steps of:

receiving calls in the 800 call mode wherein digital signals indicative of at least a first called number (DNIS) identify a first interface format;

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Patent  
241/184 (prev. 6646-114N3)

receiving calls in the 800 call mode wherein digital signals indicative of a second distinct called number (DNIS) identify a second interface format;

processing calls received in the 800 call mode for the two distinct called numbers in accordance with preliminary operations of the first and second interface formats, wherein the preliminary operations comprise at least the step of:

providing a distinct automated greeting or voice prompt to callers calling at least one of the two distinct called numbers associated with the first and second formats in the 800 call mode;

receiving caller provided data entered by the callers and testing the caller entered data to verify on-line the calls received for at least one of the two distinct called numbers to provide verified calls; and

coupling the calls received in the 800 call mode for the two distinct called numbers wherein at least certain calls are verified calls for concurrent processing in accordance with at least certain common operations of the first and second interface formats.

81  
--98. (New) A process according to claim 81, further comprising the step of:  
receiving caller data provided via the terminals and wherein verification of the calls received in the 800 call mode for at least one of the two distinct called numbers is based upon a test of the caller provided data based upon limited use.--

82  
--99. (New) A process according to claim 81, wherein the limited use is a one-time only use.--

83  
--100. (New) A process according to claim 81, wherein the caller provided data includes digital signals indicative a personal identification number.-



Patent

241/184 (prev. 6646-114N3)

84  
--101. (New) A process according to claim 98, wherein the limited use limits use by callers during a single period of time.--

85  
--102. (New) A process according to claim 98, wherein the caller provided data includes caller pin-number data, which is subject to limited use.--

86  
--103. (New) A process according to claim 97, further comprising the step of:  
receiving digital signals representing calling number identification signals associated with at least certain terminals that are tested.--

87  
--104. (New) A process according to claim 103, further comprising the step of:  
storing the caller provided data or the calling number identification signals and restricting the extent of access to at least one of the first or second formats based on at least one of caller provided data or calling number identification data.--

91  
--105. (New) A process according to claim 97, further comprising the step of:  
providing at least one voice prompt to solicit a response in the form of data entered interactively by the callers calling at least one of the two distinct called numbers associated with the 800 call mode.--

92  
--106. (New) A process according to claim 105, wherein at least certain of the data entered interactively is stored.--

93  
--107. (New) A process according to claim 105, wherein the step of providing at least one voice prompt is initiated upon receiving calling terminal numbers associated with at least certain terminals.--

94  
--108. (New) A process according to claim 97, wherein calling number identification signals associated with certain terminals are received and used to

6646-114N3

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Patent  
241/184 (prev. 6646-114N3)

access a data bank relating to calls from the certain terminals.--

<sup>95</sup>  
--109. (New) A process according to claim <sup>94</sup>108, wherein the data bank is updated with data relating to the calling number identification signals.--

<sup>96</sup>  
--110. (New) A process according to claim <sup>80</sup>97, wherein the testing step includes the step of tallying calls placed to at least one number of the toll free call mode up to a predetermined limit.--

<sup>97</sup>  
--111. (New) A process according to claim <sup>80</sup>97, wherein the caller provided data is used to update data for the callers in a data bank relating to the callers.--

<sup>98</sup>  
--112. (New) A process according to claim <sup>80</sup>97, wherein the calling number identification signals are tested based on limited use.--

<sup>99</sup>  
--113. (New) A process according to claim <sup>80</sup>97, wherein at least one of the plurality of interface formats is an on-line processing format in real-time.--

<sup>100</sup>  
--114. (New) A process according to claim <sup>80</sup>97, wherein the process includes multiple comparative processing operations at least a part of which occur during the common operations.--

<sup>101</sup>  
--115. (New) A process according to claim <sup>80</sup>97, wherein the step of concurrent further processing of calls includes the step of processing to isolate a subset of callers.--

<sup>88</sup>  
--116. (New) A process according to claim <sup>87</sup>104, wherein at least one of the plurality of interface formats is an information format.--

<sup>89</sup>  
--117. (New) A process according to claim <sup>88</sup>116, wherein the information format is a financial information format.--



Patent  
241/184 (prev. 6646-114N3)

- 9D  
--118. (New) A process according to claim 117, wherein the financial information format includes provision of data on stocks and bonds.--
- 102 8D  
--119. (New) A process according to claim 97, wherein the caller provided data is tested against stored data to determine approval for a caller.--
- 103 102  
--120. (New) A process according to claim 119, wherein there is testing of the caller provided data against a record of previous use.--
- 104 102  
--121. (New) A process according to claim 119, wherein the testing step utilizes a coincidence detector and storage unit.
- 105 8D  
--122. (New) A process according to claim 97, wherein both the first and second interface formats for the first and second 800 call modes are financial information formats.--
- 106 8D  
--123. (New) A process according to claim 97, further comprising the step of:  
utilizing caller entered identification data or calling number  
identifications signals to determine an appropriate cue for a caller.--
- 107 106  
--124. (New) A process according to claim 123, wherein the appropriate cue for a caller is determined by avoiding a cue previously provided to a caller.--

**Marked Version of the Amended Claims Relative to the Previous Version:**

The changes to claims 23, 32, and 34 are shown below by brackets (for deleted matter) or underlining (for added matter).

23. (Amended) A process for receiving calls through a telephonic communication facility from a multitude of terminals in different call modes including a toll free call mode and an area code call mode and processing the calls in accordance with



Patent  
241/184 (prev. 6646-114N3)

respective interface formats for the different call modes, wherein the process involves digital signals including digital signals provided by the multitude of terminals as for identification or data, comprising the steps of:

receiving calls in the toll free call mode and providing an interface format associated with the toll free call mode;

providing an identification number to facilitate participation via the toll free call mode;

verifying the participation number for approval;

receiving calls in the area code mode and providing another interface format associated with the area code mode;

providing preliminary automated greetings specific to respective interface formats associated with the toll free call mode and the area code mode, prior to execution of at least certain common operations of the interface formats; and

concurrently processing the verified calls received in the toll free call mode and the calls received in the area code mode in accordance with at least certain common operations of the interface formats associated with the toll free and the area code call modes [; and

providing preliminary automated greetings specific to respective interface formats associated with the toll free call mode and the area code mode, prior to execution of the common operations of the interface formats].

32. (Amended) A process for receiving calls through a communication facility from a multitude of terminals in [at least two different] an 800 call [modes] mode [including a first 800 call mode and a second 800 call mode] for processing data in accordance with an identified one of a plurality of [to respective interactive] interface formats [for the two 800 call modes] and involving digital signals including digital signals provided by the multitude of terminals [as] for identification or data, the process comprising the steps of:

receiving calls in the [first] 800 call mode wherein digital signals indicative of at least a first called number (DNIS) identify a first interface format [and providing an interface format associated with it];

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Patent  
241/184 (prev. 6646-114N3)

receiving calls in the **[second]** 800 call mode wherein digital signals indicative of a second distinct called number (DNIS) identify a second interface format [and providing an interface format associated with it];

receiving **[and verifying either caller provided data or]** calling number identification signals automatically provided by the communication facility to indicate calling **[terminals]** terminal numbers for certain of the multitude of terminals to **[qualify]** verify on-line the calls received **[in]** for at least one of the two distinct called numbers [of the two 800 call modes] to provide verified calls;

**[means for concurrently]** processing calls received in **[both the first and]** the **[second]** 800 call **[modes]** mode for the two distinct called numbers in accordance with [to] preliminary operations of the first and second [respective interactive] interface formats [call modes], wherein the preliminary operations comprise:

providing a distinct automated greeting under control of the digital signals (DNIS) to callers calling at least one of the two distinct called numbers associated with the first and second formats in the 800 call mode;  
and

**[means for]** coupling the calls received in the **[first]** 800 call mode for the two distinct called numbers [and] wherein at least certain calls are verified calls [received in the second call mode] for concurrent processing in accordance with common operations of the first and second **[respective interactive]** interface formats **]; and**

**an audio control unit for providing different automated greetings to callers calling either in the caller charge call mode or the area code mode].**

34. (Amended) A telephone call processing system for receiving calls through a telephonic communication facility from a multitude of terminals in a toll free call mode for processing data in accordance with distinct operating process formats and involving digital signals including called number identification signals (DNIS) automatically provided by the telephonic communication facility, the system comprising:

first response unit for receiving calls in the toll free call mode wherein the

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Patent  
241/184 (prev. 6646-114N3)

called number identification signals (DNIS) indicative of at least one of a plurality of distinct called numbers identifies one of the operating process formats;

voice generator means for prompting callers to enter data in response to at least one voice [prompts] prompt wherein the data entered by the callers is used to update data for the callers in a database relating to the callers;

verification means for verifying at least the calls utilizing the one of the plurality of distinct called numbers in the toll free call mode received by the first response unit to provide verified calls based upon verifying caller entered identification data including caller [pin-number] personal identification data;

second response unit means for receiving calls in the toll free call mode wherein called number identification signals (DNIS) indicative of one other of the plurality of distinct called numbers identifies a different one of the operating process formats;

means for concurrently processing calls received by the first response unit means and the calls received by the second response unit for concurrent processing of data in accordance with at least certain common operations of the operating process [format] formats; and

audio control unit for providing an automated greeting, prior to execution of the certain common operations, under the control of the called number identification signals (DNIS) to callers calling at least one of the distinct called numbers whereby the automated greeting is specific to the one of the plurality of distinct numbers.

### REMARKS

Further to the amendment and response submitted on May 23, 2001 and the supplemental amendment submitted on June 18, 2001, Applicant is submitting this second supplemental amendment to further refine claims 23, 32, and 34 and submit new claims for the Examiner to consider. All the independent claims are directed to claim combinations including the feature of receiving calls for distinct called numbers and coupling them for common operations. Such

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Patent  
241/184 (prev. 6646-114N3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/313,120

Filed: May 17, 1999

For: TELEPHONE-INTERFACE CALL  
PROCESSING SYSTEM WITH  
CALL SELECTIVITY

Group Art Unit: 2643

Examiner: Woo, S.

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AMENDMENT TRANSMITTAL

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Second Supplemental Amendment for the above-identified application.

- ☐ "Small Entity Status" of this application under 37 CFR §§ 1.9 and 1.27 has been established by a Verified Statement previously submitted.
- ☐ A Verified Statement to establish "Small Entity Status" under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(4)] for the total number of months checked below:

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being transmitted via facsimile ((703) 308-6296) on the date shown below to the Commissioner for Patents, Washington, D.C. 20231.

Date of Transmission

Reena Kuyper, Reg. No. 33,830



Patent  
241/184 (prev. 6646-114N3)

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/> \$55.00	<input type="checkbox"/> \$110.00
2 months	<input type="checkbox"/> \$195.00	<input type="checkbox"/> \$390.00
3 months	<input type="checkbox"/> \$445.00	<input type="checkbox"/> \$890.00
4 months	<input type="checkbox"/> \$695.00	<input type="checkbox"/> \$1,390.00

☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

☐ Extension fee due with this Request \_\_\_\_\_.

☒ **NO ADDITIONAL EXTENSION FEE IS REQUIRED.**

#### FEES FOR CLAIMS:

The fees for claims (37 CFR § 1.16(b)-(d)) have been calculated as shown below:

Total Claims	105	19	20	=	85	X	\$18.00	\$1,530.00
Independent Claims	8	7-	3	-	1	X	\$80.00	\$80.00
Multiple Dependent Claims	\$260	(if applicable)					<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>								<b>\$1,610.00</b>
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.								\$0.00
If applicable, Verified Statement must be attached.								<input type="checkbox"/>
<b>TOTAL FEES FOR CLAIMS SUBMITTED HERewith</b>								<b>\$1,610.00</b>

☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).

☒ Charge Deposit Account No. **50-1636** in the amount of **\$1,610.00**.

G



Patent  
241/184 (prev. 6646-114N3)

- ☒ The Commissioner is authorized to charge Applicant's Deposit Account No. **50-1636** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **50-1636**.

Respectfully submitted,

Dated: July 4, 2001

By: Reena Kuyper  
Reena Kuyper  
Registration No. 33,830

9220 Sunset Blvd., Suite 315  
Los Angeles, California 90069  
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6646-114N3

GA





RECEIPT

Patent  
241/184 (prev. 6646-114N3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/313,120

Filed: May 17, 1999

For: TELEPHONE INTERFACE CALL  
PROCESSING SYSTEM WITH  
CALL SELECTIVITY

Group Art Unit: 2643

Examiner: Woo, S.

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SEP 27 2001

Technology Center 2600

Commissioner for Patents  
Washington, D.C. 20231

LETTER

Dear Sir:

In anticipation that this application will ultimately issue, Applicant requests the United States Patent and Trademark Office to ensure that the face of the patent reflects the accurate chain of priority in the interest of the public. In a communication with the U.S. Patent and Trademark Office (amendment filed April 28, 2000, copy attached, see *Exhibit A*), Applicant pointed to errors in the Official Filing receipt issued for this application. Another filing receipt noting the correction was received but it still contains errors.

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C., 20231.

Date: 7/3/01

Sent by: Kelly Walsh

Signature:

*Kelly Walsh*





Patent  
241/184 (prev. 6646-114N3)

Please insert the following text in the chain of priority after "Which is a CIP of  
06/753,299 07/10/1985 ABN," as indicated, by handwriting, on the attached copy of the Official  
Filing Receipt (see *Exhibit B*):

"Said 08/132,062 10/04/1993 PAT 5,828,734  
Is a CIP of 08/306,751 09/14/1994  
Which is a CON of 08/047,241 04/13/1993 PAT 5,351,285  
Which is a CON of 07/509,691 04/16/1990 ABN.  
And a CIP of 07/640,337 01/11/1991 ABN  
Which is a CON of 07/335,923 04/10/1989 PAT 6,016,344  
Which is a CON of 07/194,258 05/16/1988 PAT 4,845,739  
Which is a CIP of 07/018,244 02/24/1987 PAT 4,792,968  
Which is a CIP of 06/753,299 07/10/1985 ABN.  
Said 07/509,691 04/16/1990 ABN  
Is a CIP of 07/260,104 10/20/1988 PAT 4,930,150  
Which is a CIP of 07/018,244 02/24/1987 PAT 4,792,968  
Which is a CIP of 06/753,299 07/10/1985 ABN.  
Said 08/132,062 10/04/1993 PAT 5,828,734  
Is a CIP of 07/335,923 04/10/1989 PAT 6,016,344  
Which is a CON of 07/194,258 05/16/1988 PAT 4,845,739  
Which is a CIP of 07/018,244 02/24/1987 PAT 4,792,968  
Which is a CIP of 06/753,299 07/10/1985 ABN."

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SEP 27 2001  
Technology Center 2600

08/132,062

Applicant respectfully requests that this correction should be noted in the file and  
reflected on the face of the patent that will ultimately issue.

Respectfully submitted,

Dated: July 3, 2001

By: Reena Kuyper  
Reena Kuyper  
Registration No. 33,830

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Los Angeles, CA 90069  
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system having digital signals indicative of DNIS, said telephone call processing system comprising:

- means for selectively receiving calls from said multitude of terminals to establish telephone communication with a select subset of callers utilizing calling number identification signals automatically provided by [a] the telephone communication facility, said interface format selected by said digital signals indicative of DNIS;
- means for providing identification signals for said callers of said select subset;
- means for individually cuing said callers of said select subset to provide digital signals for processing to isolate a sub-subset of said callers; and
- means for storing identification signals for said callers of said sub-subset.

19. (Amended) A telephone call processing system for receiving calls through a telephone communication facility from a multitude of terminals for processing in an interface format wherein callers are cued by voice signals supplied to said multitude of terminals and respond with digital signals, as by actuating push buttons at said multitude of terminals, said telephone call processing system comprising:

- means for receiving calls from said multitude of terminals and establishing telephone communication to select a subset of callers based upon online responses provided by said select subset of callers to questions, said means for receiving calls utilizing automatic number identification signals associated with a calling terminal automatically provided by [a] the telephone communication facility;
- means for providing identification signals for said callers of said select subset;
- and
- means for processing data relating to said callers of said select subset to isolate a sub-subset of said callers.

21. (Amended) A telephone call processing system for receiving calls through a telephone communication facility from a multitude of terminals for processing in an interface format wherein callers are cued by synthesized voice signals supplied to said multitude of terminals and respond with digital signals, as by actuating push buttons at said multitude of terminals, said telephone call processing system comprising:



means for selectively receiving calls from said multitude of terminals to establish telephone communication with a select subset of callers, said means for selectively receiving calls comprising means for receiving calls in a plurality of call modes including a toll free calling mode and a caller charge calling mode;

means for providing identification signals for said callers of said select subset;

means for individually cueing said callers of said select subset to prompt digital signals for processing to isolate a sub-subset of said callers; and

means for storing identification signals for said callers of said sub-subset.

22. (Amended) A telephone call processing system for receiving calls through a telephone communication facility from a multitude of terminals for processing in an interface format wherein callers are cued by synthesized voice signals supplied to said multitude of terminals and respond with digital signals, as by actuating push buttons at said multitude of terminals, said telephone call processing system comprising:

means for receiving calls from said multitude of terminals and establishing telephone communication to select a subset of callers based upon online responses provided by said select subset of callers to questions, said means for receiving calls comprising means for receiving calls in a plurality of call modes including an "800" toll free calling mode and a caller charge calling mode;

means for providing identification signals for said callers of said select subset;

and

means for processing data relating to said callers of said select subset to isolate a sub-subset of said callers.

### REMARKS

This Amendment is in response to the office action dated October 28, 1999. Claims 17-22 are currently pending. By this amendment, claims 17, 19, 21, and 22 are amended to further clarify them.



Applicant notes that the official filing receipt sent by the U.S. Patent and Trademark Office does not indicate with accuracy the chain of applications from which the present application claims priority. The missing portions are indicated on the attached copy of the official filing receipt. Applicant respectfully requests that the official filing receipt is updated to reflect the chain of priority with accuracy.

In paragraphs 1 and 2 of the office action, the Examiner rejected claims 17-22 under the judicially created doctrine of double patenting over claim 23 of U.S. Patent No. 5,128,984. Applicant is submitting with this response, a terminal disclaimer to obviate the double patenting rejection with respect to U.S. Patent No. 5,128,984.

In paragraphs 3 and 4 of the office action, the Examiner rejected claims 19-22 under 35 U.S.C. Section 102(e) as being anticipated by Entenmann (U.S. Patent No. 4,996,705 to Entenmann et al.). Claim 19 requires (1) a *"means for receiving calls utilizing automatic number identification signals associated with a calling terminal automatically provided by a telephone facility"* and a (2) *"means for providing identification signals for said callers of said select subset."* The Examiner relies on ANI disclosed in Entenmann to satisfy these separate requirements recited by claim 19. Indeed, if anything, the disclosure in Entenmann of *"ANI signals supplied by the local switching system"* could not possibly satisfy the requirement in the claim for a *"means for providing identification signals for said select subset"* because the local switching system is typically a part of the telephone communication facility and not a part of the claimed telephone call processing system. Accordingly, Applicant continues to urge that both claims 19 and 20 (depends on 19) are distinct from Entenmann.

With respect to claims 21-22, the Examiner points to column 2, lines 47-62, in Entenmann and takes the position that Entenmann provides for a plurality of different lotteries including a *"customer paid lottery"* or a *"sponsor paid lottery."* The Examiner's attention is respectfully directed to Figure 2 in Entenmann. It indicates that the customer calls the lottery system (block 50) and the sponsor calls the customer (80) and not the lottery system. The call by the customer (an outbound call from the customer to the lottery system) involves entirely different operations from the call to the customer (an inbound call to the customer). Applicant respectfully notes that both claims 21 and 22 require receiving calls from callers at a multitude of terminals (therefore calls placed by callers or outbound calls from the callers) in an "800" toll free calling mode and a *"caller charge"* calling mode. Moreover, Entenmann does not explicitly



mention either of these two types of calling modes. If it is assumed for purposes of argument that the "800" toll free calling mode is satisfied by Entenmanns' "*sponsor paid lottery*," the fact that a sponsor places the call to the customer cannot be ignored. Applicant respectfully submits that both claims 21 and 22 are distinct from Entenmann and requests the Examiner to withdraw her rejection of those claims.

In paragraph 6 of the office action, the Examiner rejected claims 17-18 under 35 U.S.C. Section 103(a) as being unpatentable over Entenmann in view of Hester. The Examiner relies on Hester for a teaching of DNIS, and contends that it would have been obvious to one of ordinary skill to incorporate DNIS within Entenmanns' lottery system in order to automatically identify the selected lottery format from a plurality of lottery formats. Entenmann is distinct from Applicant's claims for the reasons urged above. Again, claim 17 requires (1) a "*means for selectively receiving calls from said multitude of terminals to establish telephone communication with a select subset callers utilizing calling number identification signals automatically provided by a telephone facility*" and (2) a "*means for providing identification signals for said callers of said select subset*." The Examiner contends that Entenmanns' ANI satisfies these separate requirements recited by claim 17. Indeed, if anything, the disclosure in Entenmann of "*ANI signals supplied by the local switching system*" could not possibly satisfy the requirement in the claim for a "*means for providing identification signals for said select subset*" because the local switching system is typically a part of the telephone facility and not a part of the claimed telephone call processing system.

In addition, Entenmann discloses a customer paid lottery or a sponsor paid lottery. The Examiner's attention is respectfully directed to Figure 2 of Entenmann. It indicates that the customer calls the lottery system (block 50) and the sponsor calls the customer (80) and not the lottery system. The call by the customer (an outbound call) involves entirely different operations from the call to the customer (an inbound call). Applicant submits that use of DNIS with calls from a sponsor to a customer would not appear to be practical. Accordingly, Applicant respectfully submits that a combination of Entenmann with Hester would not render present claims 17 and 18 (depends on 17) obvious. Applicant respectfully request the Examiner to withdraw her rejection of these claims.



Favorable consideration and allowance of all the pending claims is respectfully requested.

Respectfully submitted,

LYON & LYON, LLP

By: 

Reena Kuyper

Registration No. 33,830

Dated: April 28, 2000

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6646-114N3



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 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/313,120	05/17/1999	2743	840	241/184	2	1	1

CONFIRMATION NO. 3474

CORRECTED RECEIPT



\*0000000006026934\*

 RONALD A. KATZ TECHNOLOGY LICENSING, L.P.  
 9220 Sunset Blvd., Suite 315  
 Los Angeles, CA 90069-3605

Date Mailed: 05/01/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

RONALD A. KATZ, LOS ANGELES, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/480,185 06/07/1995 PAT 5,974,120  
 WHICH IS A CON OF 08/132,062 10/04/1993 PAT 5,828,734  
 WHICH IS A CON OF 07/779,762 10/21/1991 PAT 5,251,252  
 WHICH IS A CON OF 07/425,779 10/23/1989 PAT 5,128,984  
 WHICH IS A CIP OF 07/312,792 02/21/1989 PAT 5,073,929  
 WHICH IS A CIP OF 07/194,258 05/16/1988 PAT 4,845,739  
 WHICH IS A CIP OF 07/018,244 02/24/1987 PAT 4,792,968  
 WHICH IS A CIP OF 06/753,299 07/10/1985 ABN

## Foreign Applications

If Required, Foreign Filing License Granted 06/09/1999

CPA filed on: 12/18/2000

Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

SAID 08/132,062 10/04/93 PAT 5,828,734  
 IS A CIP OF 08/306,751 09/14/94  
 WHICH IS A CON OF 08/047,241 04/13/93 PAT 5,351,285  
 WHICH IS A CON OF 07/509,691 04/16/90 ABN  
 AND A CIP OF 07/640,337 01/11/91 ABN  
 WHICH IS A CON OF 07/335,923 04/10/89 PAT 6,016,344  
 WHICH IS A CON OF 07/194,258 05/16/88 PAT 4,845,739  
 WHICH IS A CIP OF 07/018,244 02/24/87 PAT 4,792,968  
 SAID 07/509,691 04/16/90 ABN  
 IS A CIP OF 07/260,104 PAT 4,930,150  
 WHICH IS A CIP OF 07/018,244  
 WHICH IS A CIP OF 06/753,299 02/24/87 PAT 4,792,968  
 SAID 08/132,062 10/04/93 PAT 5,828,734  
 IS A CIP OF 07/335,923 04/10/89 PAT 6,016,344  
 WHICH IS A CON OF 07/194,258 05/16/88 PAT 4,845,739  
 WHICH IS A CIP OF 07/018,244 02/24/87 PAT 4,792,968  
 WHICH IS A CIP OF 06/753,299 07/10/85 ABN